

net exchange | CLIENT[®]

A Window to
Your Financial World



NetExchange Client® provides you with online access to your brokerage accounts, night and day, seven days-a-week. In addition, you can place trades and have instant access to balances, portfolio holdings, account activity, order status information and more.

This robust portal also allows you to view your brokerage account statements, tax statements and trade confirmations online, as well as access quotes, read news stories, and perform research on thousands of stocks, options and mutual funds. The combination of unlimited access and a convenient, user-friendly interface will help you more easily manage your investments.

Accounts

The Accounts tab enables you to view details about your accounts. As you click through the sub-tabs you can obtain information such as account balances, holdings, history, projected cash and asset allocation.

Summary

Access a high level overview of your portfolio—clearly displaying market valuation by account, an asset allocation pie chart, the five most recent trade executions and orders, and the 10 largest holdings by market value.

The screenshot displays the NetExchange Client Summary page. At the top, there is a navigation bar with tabs for Home, Accounts, Trading, Markets, Quotes & News, Research, and Customer Service. Below this is a sub-navigation bar with tabs for Balance, Holdings, Order Status, History, Projected Cash, Asset Allocation, Statements and Confirm, eSuits, and Profits. The main content area is titled 'Summary' and includes several sections:

- Market Valuation:** A table showing account balances and market values.

Account #	Account Nickname	Market Value (\$)	Change (\$)	Change (%)
51100010		739,070.39	27,208.33	1.94
51100034		32,549.15	0.00	0.00
511006199		1,137,336.24	11,230.70	0.14
Total		\$1,908,955.78	\$36,439.03	
- Asset Allocation:** A pie chart and table showing the distribution of assets across different categories.

Asset Type	Market Value (\$)	Market Value (%)	Change (\$)	Change (%)
Equities	39,974.70	19.45	-9.34	-0.02
Options	N/A	N/A	N/A	N/A
Fixed Income	N/A	N/A	N/A	N/A
Mutual Funds	144,219.68	80.19	0.00	0.00
Other	N/A	N/A	N/A	N/A
Cash & Equivalents	652.21	0.36	0.00	0.00
Account Total	\$184,846.59	100.00		
- Market Indices:** A line chart showing the performance of the NASDAQ Composite index over time. Below the chart is a table of market indices.

Market	Value	\$ Chg	% Chg
NASDAQ	2407.82	+27.24	1.13
NYSE	8369.68	+55.54	0.66
S&P 500	1290.94	+13.22	1.02
S&P Midcap	811.85	+4.44	0.55
S&P 100	596.22	+7.33	1.23
Russell 2000	735.20	+9.95	1.35
PhX Gold & Silver	151.04	-2.73	-1.81
CBDO 30 Yr T-Bond	38.88	+0.48	1.24
CBDO 30 Yr T-Bond	44.92	+0.27	0.60
- Executions (5 most recent):** A table showing the most recent trade executions.

Date	Transaction	Symbol	Qty	Price	Status
05/13/2009	BUY	IBM	\$2,000	Limit	Details
- Orders (5 most recent):** A table showing the most recent orders.

Date	Transaction	Symbol	Qty	Order Type	Price	Duration	Status
08/11/2009	BUY	ASFAX	\$2,000	Limit	Limit	DAY	PENDING
08/11/2009	BUY	IBM	25	Market	Market	DAY	PENDING
05/21/2009	BUY	C	25	Limit	\$3.85	ALL	PENDING
06/03/2009	BUY	JPM	102	Limit	\$2.43	ALL	PENDING
- Holdings (Your 10 Largest Holdings by Market Value):** A table showing the top 10 holdings.

Symbol	Quantity	Price(\$)	Change(\$)	Value(\$)
I	34.0	31.40	+0.64	1,067.60
FTCHX	23.0	27.10	-0.05	623.30
CASH	351.6	1.00	0.00	351.57

Holdings

Retrieve details on the holdings within any of your accounts, such as intraday data by security type, and realized and unrealized gains and losses.

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Summary Balances Holdings Order Status History Projected Cash Asset Allocation Statements and Confirms BiSuits Profile

Holdings

Account: 511000010 [Manage Groups >](#)

Intraday: Unrealized Gain/Loss Realized Gain/Loss

Quick Quote Real-Time Delayed? Symbol

Intraday Summary

Total Previous Market Value	\$20,404.92
Total Current Market Value	\$20,404.92
Change in Market Value	\$ 0.00

Transactions

Data delayed per exchange requirement*

CUSIP	Account #	Description	Quantity	Price	Current Yield %	Effective Maturity Date	Market Value	
Corporate Bonds								
GE-HBA	511000929	GEN ELEC CAPTL CORP MTN 0.000% 11/01/12 bte dtd 11/01/08	\$5,000.00	97.027	0.00	11/01/2012	\$4,851.35	Details
GE-AAD	511000929	GEN ELEC CAPTL CORP MEDIUM TERM NTS TRNCH E # TR 00551 6.00% D 06/15/12 BE dtd 06/15/12 B/E DTD 06/07/02	\$5,000.00	103.803	5.78	06/15/2012	\$5,190.02	Details
Total			\$10,000.00					
Municipal Bonds								
733581BF0	511000929	PORT AUTH N Y N J RFDG-CONS-ONE HUNDRED SIXTEENTH SER 4 250% 10/01/26 BE DTD 10/01/98	\$7,000.00	95.728	4.43	10/01/2026	\$6,700.96	Details
Total			\$7,000.00				\$6,700.96	
Total			\$17,000.00				\$16,742.33	

Cash & Equivalents

Description	Account #	Market Value	Today's Change(\$)	Today's Change(%)
CASH	511000929	\$70,147.97	0.00	0.00
Total		\$70,147.97	0.00	0.00

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History

Review up to two years of account transaction history for your accounts. You can search by transaction type and stock symbol, and specify a time period or a specific date range to narrow your search.

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Summary Balances Holdings Order Status History Projected Cash Asset Allocation Statements and Confirms BiSuits Profile

History

Account: 511000010

Transaction Type: All Search by: Symbol

Time Period: Last 7 days From: mm/dd/yyyy To: mm/dd/yyyy

History data is available for a maximum of 2 years.

Transactions

As of 05/13/2009 11:02 AM

Date	Description	Net Amount	
05/06/2009	REINVEST CASH INCOME ** AXP	\$18.58	Details
05/08/2009	CASH DIVIDEND RECEIVED	\$18.58	Details
05/01/2009	REINVEST CASH INCOME ** FAGIX	\$67.26	Details
05/01/2009	CASH DIVIDEND RECEIVED	\$67.26	Details
04/06/2009	FOREIGN TAX WITHHELD AT THE SOURCE	\$25.72	Details
04/06/2009	FEE ON FOREIGN DIVIDEND WITHHELD AT THE SOURCE	\$0.35	Details
04/06/2009	FOREIGN SECURITY DIVIDEND RECEIVED	\$171.44	Details
04/06/2009	MONEY FUND PURCHASE	\$145.37	Details
04/03/2009	MONEY FUND PURCHASE	\$7.78	Details
04/02/2009	STOCK SPIN-OFF RECEIVED ** TWC	\$0.00	Details
04/02/2009	CASH IN LIEU OF FRACTIONAL SHARE RECEIVED	\$15.31	Details
04/02/2009	REVERSE SPLIT ** TWX	\$0.00	Details
04/02/2009	CASH IN LIEU OF FRACTIONAL SHARE RECEIVED	\$7.78	Details
04/02/2009	REVERSE SPLIT ** 887317105	\$0.00	Details
04/02/2009	MONEY FUND PURCHASE	\$15.31	Details
04/01/2009	REINVEST CASH INCOME ** FAGIX	\$70.52	Details
04/01/2009	CASH DIVIDEND RECEIVED	\$70.52	Details

[Next >](#)

Market Indices

Price \$ 2600

Market

Market	Value	\$ Chg	% Chg
NASDAQ	2403.80	+23.5	0.98
NYSE	8363.10	+48.96	0.59
S&P 500	1288.59	+10.87	0.84
S&P Midcap	810.86	+3.47	0.43
S&P 100	595.21	+6.32	1.06
Russell 2000	732.75	+7.50	1.02
Ph-X Gold & Silver	150.44	-3.33	-2.21
CBCE 10 Yr T-Bond	38.74	+0.36	0.93
CBCE 30 Yr T-Bond	44.91	+0.26	0.58

Data delayed per exchange requirement*

*NYSE and AMEX data delayed at least 20 minutes; NASDAQ, OTC, OTCEB and OPR data delayed at least 15 minutes

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BillSuite™

Combine electronic and paper bills into a single source with BillSuite™, our online bill payment and presentment service. BillSuite enables you to view, manage and pay your bills online directly from your brokerage account.

Statements and Trade Confirmations

View up to 10 years of account statements, 7 years of tax statements, and 3 years of trade confirmations. Be sure to enroll for paperless statements and trade confirmations by clicking the “Go Paperless” link at the top of the screen.

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Summary Balances Holdings Order Status History Projected Cash Asset Allocation Statements and Confirms BillSuite Profile

Account: 511000929

Time Period: Last 12 Months From: mm/dd/yyyy To: mm/dd/yyyy Display

Statement Date	Statement Type
07/2009	Monthly
06/2009	Monthly
05/2009	Monthly
04/2009	Monthly
03/2009	Monthly
02/2009	Monthly
01/2009	Monthly
12/2008	Monthly
11/2008	Monthly
10/2008	Monthly
09/2008	Monthly
08/2008	Monthly

1-12 of 12 documents

Adobe Acrobat Reader is required to access these documents. Once installed, you can view and print these documents. A free version of this software may be available online.

Market Indices

NASDAQ Composite

Market	Value	\$ Chg	% Chg
NASDAQ	2408.20	+27.8	1.15
NYSE	8354.23	+40.09	0.48
S&P 500	1289.72	+12.00	0.93
S&P Midcap	810.77	+3.36	0.41
S&P 100	995.92	+7.03	1.18
Russell 2000	733.93	+8.88	1.18
PHLX Gold & Silver	149.48	-4.29	-2.87
CBOE 10 Yr T-Bond	38.72	+0.34	0.88
CBOE 30 Yr T-Bond	44.80	+0.15	0.33

Data delayed per exchange requirement

*NYSE and AMEX data delayed at least 20 minutes; NASDAQ, OTC, OTCBB and OPIA data delayed at least 15 minutes

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Trading

NetExchange Client enables you to enter equity, option and mutual fund orders online, seven days a week, providing you with the flexibility of investing when it is most convenient for you.

By clicking on the Trading tab, you will have the ability to place trades and view your order status. You will also be able to view the funds you have available to trade on the order ticket and the estimated transaction value on the order review page.

Markets

NetExchange Client makes it easy to keep abreast of the latest market conditions on the Markets tab—track market movers, access market headlines and more.

You can stay informed using the Market Highlights page—get current information on major U.S. market indices, including Advancers and Decliners. The Winners and Losers sub-tab displays most actives, percentage winners, percentage losers, dollar winners and dollar losers by exchange. Other sub-tabs within the Markets tab include, Top News, which provides news stories by topic, such as energy, healthcare and technology, and Rateboard for easy access to current money fund rates, interest rates, stock and option indices, commodities and currency rates.

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Market Highlights
Winners & Losers
Top News
Rateboard

Market Highlights

■ NYSE vol of 88222828 15:42 ET

■ NYSE vol of 88222828 15:42 ET

Quick Quote Next Time Delayed*

Symbol: Display Post Symbol

67.25 +0.64 (+0.96%)

INTERNATIONAL BUSINESS MACHINES

Bid: 67.25 Ask: 67.25

Vol: 4,143,200 Market: NASDAQ

Financial Status Indicator: Not Bankrupt/Not Below
Continuing Listing Standards Not Pending Delisting (A)

Data delayed per exchange requirement

Market Indices

As of 08/22/2009 15:27 ET

Symbol	Index	Last	Change (\$)	Change (%)
INDU	Dow Jones Industrial Average	11601.40	+171.2	1.48
COMP	NASDAQ Composite	2412.50	+32.1	1.33
SPX	S&P 500	1289.26	+11.54	0.90
NVA	NYSE Composite	8337.83	+23.49	0.28
XAX	AMEX Composite	616.29	+3.58	0.58
RUT	Russell 2000	735.86	+10.61	1.44
TYX	CBOE 30 Yr. Int. Index	44.83	-0.02	-0.04
XAU	PHLX Gold and Silver	147.43	-6.34	-4.30
MD	S&P 400 Mid Cap	810.39	-2.98	-0.37
OEX	S&P 100	595.40	+6.51	1.09
TNX	CBOE 10 Yr. T-Note	38.67	+0.29	0.75
USTBD	CBOE 30 Yr. T-Bond	4.48	No Chg	0.00

Advancers & Decliners

As of 08/22/2009 15:42 ET

	NYSE	AMEX	NASDAQ
New Highs	13	3	19
New Lows	29	12	49
Advances Issues	2209	529	1941
Declining Issues	902	415	790
Unchanged Issues	85	66	139
Total Issues	3196	1010	2870
Up Volume	525,898,944	7,894,770	395,240,096
Down Volume	203,757,008	8,291,303	82,953,648
Unchanged Volume	3,719,150	498,300	3,878,613
Total Volume	733,375,102	16,684,373	482,072,357

*NYSE and AMEX data delayed at least 20 minutes; NASDAQ, OTC, OTCBB and OPRA data delayed at least 15 minutes

Privacy Policy Margin Disclosure

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Quotes and News

Get quotes for stocks, options or mutual funds. Obtain the latest news headlines for each stock, as well as detailed information—including last bid, ask and volume numbers. You can also view charts for each security—which display intraday quotes to up-to-10 years of performance history. You will have access to Standard & Poor's® Snapshot reports, Annual Reports¹ and SEC Filings for the company you are researching, and you can put your research to work by creating Watchlists of securities to track quotes and performance.

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Company Digest

Enter Symbol(s): BK
(Enter up to 20 stock, mutual fund or option symbols separated by a comma)

Summary Quotes Option Chain Chart News S&P Snapshot Report Annual Reports SEC Filings

BANK OF NEW YORK MELLON CORP COM (BK)

Intraday chart for BK as of 08/22/2009 15:51 ET

Quick Quote Real-Time Delayed*

34.46 +0.23 (0.67%)

Bid: N/A Ask: N/A
 Vol: 2420300 Market: NYSE

Financial Status Indicator: Not Bankrupt/Not Below Continuing Listing Standards/Not Late Filing (0)

Date delayed per exchange requirement

Extended Quotes Option Chain

News Headlines

- 15:50:00 *DJ Bank of New York Mellon (BK) Mkt on Close Buy Imbalance: Shrs 532700
- 15:40:00 *DJ Bank of New York Mellon (BK) Mkt on Close Buy Imbalance: Shrs 872100
- 14:24:00 *DJ PRACTICE MANAGEMENT: Estate Planning Isn't Just for Old, Rich
- 08/21/2009 *DJ Bank of New York Mellon (BK) No Mkt on Close Imbalance
- 08/21/2009 *DJ Bank of New York Mellon (BK) Mkt on Close Buy Imbalance: Shrs 51600

Market Indices

NASDAQ Composite

Market	Value	\$ Chg	% Chg
NASDAQ	2414.70	+34.3	1.42
NYSE	8359.97	+45.83	0.65
S&P 500	1290.82	+13.10	1.12
S&P Midcap	812.70	+5.29	0.78
S&P 100	595.09	+7.50	1.21
Russell 2000	737.59	+12.64	1.71
Dow Jones Gold & Silver	148.51	-5.26	-3.37
CRQE 10 Yr T-Bond	38.67	+0.29	0.75
CRQE 30 Yr T-Bond	44.83	-0.02	-0.04

Data delayed per exchange requirement*

*NYSE and AMEX data delayed at least 20 minutes; NASDAQ, OTC, OTCBB and OPRA data delayed at least 15 minutes

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Research

In addition to providing a link to the Standard & Poor's Learning Center, the Research tab provides additional mutual fund information with FundCenter®. FundCenter enables you to sort and evaluate over 16,500 mutual funds, search for funds by gross expense ratio, objective, risk, fees, size and performance attributes, and access relevant fund information including historical performance, risk metrics and top 10 holdings.

Security Features

To ensure your information is completely protected, important security measures are in place. Upon log on, you will be prompted to enter your user ID and password on separate pages, as well as to set up a variety of security challenge questions designed to verify your identity should you choose to log on from an unrecognized computer. As an additional security measure, you will select an image and phrase that will display each time you log on to confirm that you are on an authentic NetExchange Client web site.

Get Connected to NetExchange Client

The ability to have online access to your investments is critical in today's fast-paced environment. NetExchange Client makes this possible by providing the tools you need to conveniently monitor and manage your investments in a secure, user-friendly environment.

For more information about NetExchange Client, please contact your financial organization.

¹ Not all companies' annual reports are made available via this service.

