

R.M Stark & Co., Inc Fact Sheet

CORPORATE OVERVIEW

Providing the highest quality financial services to individual and corporate investors, R.M. Stark offers vast resources and many competitive advantages. Since 1978, R. M. Stark has been providing comprehensive financial services for investors. R.M. Stark is a subsidiary of RMST Holding Company, a financial holding company with various interests in securities brokerage and asset management. Clearing services are provided through Pershing LLC, a member of BNY Securities Group and a subsidiary of the Bank of New York.

FINANCIAL PRODUCTS & SERVICES

Asset Management Accounts
Fee-Based Brokerage
Investment Manager Services
Investment Research
Dividend Reinvestment
Brokerage Checking
Money Funds
Electronic Access
Performance Measurement
Retirement Products
Financial Planning
Life Insurance
Fixed & Variable Annuities
Wealth Management Solutions

MEMBER NASD, MSRB, NFA, SIPC

TRADING PRODUCTS

Listed and OTC Securities
Fixed Income Products
International Securities
Foreign Exchange
Listed Options
Mutual Funds
Exchange Traded Funds

OFFICES

Arizona, Colorado,
Florida, Indiana,
Michigan, New Jersey,
New York, North Carolina

UNLIMITED ACCOUNT PROTECTION

This account protection applies to the net value of securities and cash held in custody by Pershing for their accounts. The Securities Investor Protection Corporation (SIPC) provides the first \$500,000 of coverage, including \$100,000 for claims for cash. See www.sipc.org for additional information. The remaining excess coverage is provided by Pershing through a commercial insurer. The account protection applies when a SIPC member firm fails financially and is unable to meet obligations to securities clients, but it does not protect against losses from the rise and fall in the market value of securities

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